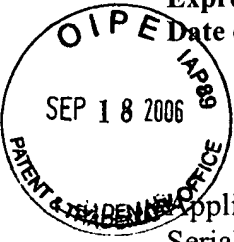


Date of Deposit: September 18, 2006



IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

Applicant: Robert K. Samson
Serial No.: 09/766,277
Filed: January 19, 2001
For: INVESTMENT GUIDANCE SYSTEM WHICH ENABLES INDIVIDUALS TO RATE AND
SELECT ASSETS BASED ON PERSONAL INVESTMENT PREFERENCES
Examiner: Daniel S. Felten
Art Unit: 3624

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified application please find the following documents:

1. Response to Notice of Non Compliant Amendment Dated September 11, 2006 (13 pgs);
2. Return Postcard.

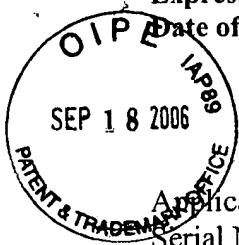
Although Applicant believes no fees are due with this submission, the Commissioner is hereby authorized to charge any fee that may be due to Deposit Account No. 50-0311, Reference No. 29240-001. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Alison L. McCarthy, Esq. (Reg. No. 51,998)
Attorney for Applicant
Mintz, Levin, Cohn, Ferris
Glovsky and Popeo, P.C.
Telephone: (617) 542-6000
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Customer No. 30623

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RESPONSE TO NOTICE OF NON COMPLIANT AMENDMENT
DATED SEPTEMBER 11, 2006

Sir:

In response to the Office Action dated September 11, 2006, please amend the application as follows and consider the following remarks.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.